**TITLE**: Visual Outcome of Penetrating Keratoplasty, Deep Anterior Lamellar Keratoplasty and Descemet Membrane Endothelial Keratoplasty

**Short Title**: Objective and subjective quality of vision after 3 types of keratoplasty

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## **ABSTRACT**

Purpose: A single-center, cross-sectional study was designed to assess and compare objective and subjective quality of vision of patients intervened with penetrating keratoplasty (PK), deep anterior lamellar keratoplasty (DALK) and Descemet membrane endothelial keratoplasty (DMEK).

Methods: Forty-six patients previously intervened with PK (22 eyes), DALK (7 eyes) and DMEK (17 eyes) were recruited. Visual evaluation included spherical and cylindrical refraction, distance corrected visual acuity (DCVA), photopic contrast sensitivity (CS), optical quality, measured with the HD Analyzer (objective scattering index [OSI], MTF cut-off and Strehl ratio), and ocular and corneal aberrometry, measured with the KR-1W Wavefront Analyzer.

Results: Statistically significant within-group differences were found in age (p=0.006, DMEK patients were older) and time since surgery (p<0.001, longest time for PK patients). No statistically significant differences were found in DCVA between the techniques. Within-group differences were encountered in CS at 12 (p=0.007) and 18 (p<0.001) cycles per degree, with DMEK and DALK obtaining the best and worst outcomes, respectively. Differences in optical quality were found between the techniques (OSI, p=0.004; MTF cut-off, p=0.048; Strehl ratio (p=0.022), with DMEK displaying the best outcomes. Highest and lowest values in ocular and corneal aberrations were for DALK and DMEK patients, respectively. Within-group differences were found in corneal astigmatism (p<0.001; -3.31±2.00 D in PK; -2.68 ± 0.94 D in DALK; -1.09 ± 0.62 D in DMEK).

Conclusion: Overall, DMEK proved superior over PK and DALK in terms of quality of vision, with PK offering slightly better outcomes than DALK in most visual function parameters under evaluation.

# **KEYWORDS**

Deep anterior lamellar keratoplasty; Descemet membrane endothelial keratoplasty; Penetrating keratoplasty; Quality of vision; Visual acuity

### 1. INTRODUCTION

Nowadays, many options are available to the corneal transplant surgeon for the treatment of corneal disorders. The keratoplasty surgical procedure has been modified to improve drawbacks associated with penetrating keratoplasty (PK), including delayed wound healing, major vulnerability to trauma, risk of immune rejection, unpredictable refractive outcomes, prolonged visual rehabilitation and high or irregular astigmatism. 1,2 Although PK, in which the full corneal thickness is replaced, remains the gold standard,<sup>3</sup> current keratoplasty procedures focus on replacing only the essential amount of tissue (also known as "selective keratoplasty"). Thus, in deep anterior lamellar keratoplasty (DALK),4 the whole corneal tissue is replaced with the exception of Descemet membrane and endothelium; in Descemet membrane endothelial keratoplasty (DMEK), 5,6 the Descemet membrane and endothelium are replaced by the corresponding layers from the donor cornea; and in Descemet stripping (automated) endothelial keratoplasty (DSEK or DSAEK)7,8 the Descemet membrane and endothelium are replaced by a thin layer of donor stroma, Descemet membrane and endothelium. Lamellar corneal grafts have proved superior in terms of fast visual rehabilitation and more predictable refractive outcomes, requiring only partial rather than full-thickness incisions. Besides. DMEK has been reported to provide similar endothelial cell count but higher rebubbling rate than other endothelial keratoplasty techniques, while also restoring physiologic pachymetry. 10, 11

The present study aimed at describing and comparing visual outcomes of PK, DALK and DMEK. Previous researchers have assessed subjective and objective quality of vision of DALK with reference to PK. Thus, Güell and

colleagues reported better best-corrected visual acuity (VA) and optical quality with PK than DALK, also noting a good correlation between these parameters. 12 Ardjomand and co-workers also observed better spectacle-corrected VA in PK than DALK, with VA in DALK increasing in those eyes with lower (<20 µm) thickness of the residual recipient stromal bed. 13 These authors did not find any difference between PK and DALK in terms of contrast sensitivity (CS) and higher order aberrations (HOA). Pantanelli and colleagues reported better spectacle-corrected VA in PK than DALK, a difference that persisted even with full HOA correction through adaptive optics (HOA were worse in PK), although no differences in CS between PK and DALK were found. 14 The same authors also evidenced the superiority of PK versus DSAEK in VA, but not in HOA, which they attributed to the longer time PK patients had for neural adaptation, and to the possible presence of corneal haze in DSAEK patients. Discrepancies amongst researchers persist, with reports describing similar best-corrected VA<sup>15,16</sup> and HOA<sup>3,17</sup> in PK and DALK, while others note a better performance of PK over DALK in both visual function parameters. 18-20 Fewer studies have explored the visual outcome of DMEK, probably because this challenging technique is not yet widely spread. However, all these reports suggest that DMEK may be a superior technique in terms of fast visual recovery, less refractive changes, and best-corrected visual acuity when compared with DSAEK, as well as presenting with reduced posterior HOA than PK and DSAEK. 10, 21

Given the relatively small volume of published research addressing the objective and subjective quality of vision of patients following PK, DALK and

DMEK, the purpose of the present single-center, cross-sectional study was to evaluate and compare the visual outcomes of these techniques in terms of visual acuity, contrast sensitivity, optical quality and higher-order aberrations. Although it may be argued that the decision of the corneal surgeon to select a particular technique is commonly governed by the characteristics of the condition (*i.e.*, affecting the whole cornea or being limited to certain layers), in some instances this choice may be influenced by other factors such as expertise with a technique or expected visual outcomes. The findings of the present research may provide information to assist these decisions.

#### 2. MATERIAL AND METHODS

## 2.1. Study Sample

Forty-six consecutive patients (23 females) who had previously undergone PK (22 eyes), DALK (7 eyes) or DMEK (17 eyes) surgery were included in the study. Twenty-two eyes were right eyes (48%) and 24 left eyes (52%). All patients attended the Cornea, Cataract and Refractive Surgery Unit, Instituto de Microcirugía Ocular (IMO), Barcelona, Spain, between January and June 2015 for follow-up visits of their procedures. Age of patients ranged between 23 and 78 years, with a mean age (± standard deviation) of 53.2 (±14.7) years. Exclusion criteria were concomitant ocular conditions known to influence visual outcome such as corneal opacities, uveitis, manifest cataracts, posterior capsular opacities, vitreous or retinal abnormalities and vitretomized eyes. Patients who had undergone post-keratoplasty corneal refractive surgery were also excluded, as were those unable to understand or comply with the instructions required for objective and subjective visual function assessment.

All patients received information regarding the procedures and associated possible complications and written informed consent was obtained. The study was conducted in accordance with the Declaration of Helsinki tenets of 1975 (as revised in Tokyo in 2004) and received the approval of an institutional ethical board (IMO).

### 2.2. Surgical procedures

All corneal surgeries had been conducted by the same surgeon (JLG) at the Cornea and Refractive Surgery Unit, Instituto de Microcirugía Ocular (IMO),

Barcelona, Spain. Surgeries were executed according to the previously described procedure for PK, DALK and DMEK.<sup>22-24</sup> At the time of measurements all sutures inserted during the surgical intervention had been removed.

#### 2.3. Visual function evaluation

Following retinoscopy and subjective refraction, corrected distance high-contrast monocular visual acuity (DCVA) was measured in logMAR units with the Early Treatment Diabetic Retinopathy Study (ETDRS) charts (Optec 6500, Stereo Optical Co., Inc., Chicago, IL) at a viewing distance of 4 m and under photopic conditions (85 cd/m²). Contrast sensitivity (CS) was assessed with the CSV-1000 test (Vector Vision, Inc, Greenville, OH) placed at 2.5 m. This test presents a translucent chart divided into four sine-wave grating stimuli at spatial frequencies of 3, 6, 12 and 18 cycles per degree (cpd) and eight levels of contrast. A two-alternative forced choice paradigm was implemented for CS measures. The background illumination of the translucent chart is provided by the fluorescent luminance source of the instrument, that is, it is independent of room illumination. Best distance correction was employed during all CS measurements.

Optical quality was assessed with the HD Analyzer (Visiometrics S.L., Spain). The HD Analyzer employs a double-pass system to evaluate the retinal image degradation of a point-source object.<sup>25</sup> Double-pass images contain information about aberrations and scattering.<sup>26</sup> Explored parameters were the objective scattering index (OSI), calculated as the ratio between the light reaching an annular area ranging from 2 to 20 minute of arc from the central peak of

maximum intensity and that of the central 1 minute of arc,<sup>27</sup> the modulation transfer function cut-off frequency (MTF cut-off), which is the spatial frequency corresponding to a 0.01 MTF value (the MTF value is calculated from the root square of the modulus of the Fourier transform of the double pass image), and the Strehl ratio, defined as the ratio under the measured MTF curve and the curve that would be obtained if the eye was free of aberrations.<sup>28</sup> All measurements were performed with full spherical and cylindrical correction and for a 4 mm pupil.

Finally, aberrometry data was obtained with the KR-1W Wavefront Analyzer (Topcon Medical Systems, Inc., Oakland, NJ). This instrument combines a Hartmann-Shack wavefront analyzer with a Placido disc-based anterior corneal topographer to provide ocular and anterior corneal aberrometry information, respectively (corneal aberrations are calculated from elevation data and subsequently transformed to wavefront Zernike polynomials). A pupil diameter configuration of 4 mm was used to determine total ocular and anterior corneal HOA as the root mean square (RMS) values of all Zernike coefficients of order 3<sup>rd</sup> to 8<sup>th</sup>. The same instrument was employed as a topographer to obtain anterior corneal astigmatism, objective refraction, as well as to determine pupil diameter under mesopic conditions (5 cd/m²). Internal aberrations were obtained by subtracting corneal aberrations from ocular aberrations.

All procedures were conducted by an experienced optometrist (CG), masked to the type of surgery being evaluated. Aberrometry and optical quality measurements were repeated three times and the average was used for statistical analysis.

## 2.4. Data analysis

Statistical analysis was performed with the IBM SPSS software for Windows (IBM Corporation, Armonk, NY). All data were examined for normality with the Kolmogorov-Smirnov test, which revealed compliance with a normal distribution. Accordingly, descriptive statistics are summarized as mean ± SD. An analysis of variance test (ANOVA) was employed to investigate the statistical significance of the differences between PK, DALK and DMEK for each variable under evaluation and, when differences reached statistical significance, the Tukey *post-hoc* test was employed for pair-wise analysis, thus taking into consideration family-wise error-rate. A p-value of 0.05 or less was considered to denote statistical significance throughout the study.

## 3. RESULTS

Demographic data for PK, DALK and DMEK groups is summarized in **Table 1**, which also shows time (in months) since surgery. An ANOVA analysis revealed statistically significant differences both in age (F = 5.857; p = 0.006) and time since surgery (F = 17.666; p < 0.001). When submitted to a Tukey *post-hoc* test, pair-wise differences in age were found between DMEK and PK and between DMEK and DALK, with DMEK patients ( $61.59 \pm 11.34$  years) being older than PK ( $49.60 \pm 15.46$  years) and DALK patients ( $44.00 \pm 9.45$  years). Differences were also found in time since surgery between PK and DALK and between PK and DMEK, with time since PK being much longer ( $84.82 \pm 52.21$  months) than time since DALK ( $21.28 \pm 8.42$  months) and time since DMEK ( $18.37 \pm 12.46$  months). Main reasons for intervention were Fuchs endothelial dystrophy (15 patients) and keratoconus (15 patients).

Refractive sphere and cylinder outcomes, as well as DCVA and CS and for each group of patients, are shown in **Table 2**. Statistically significant withingroup differences in both refractive sphere (F = 3.682; p = 0.033) and cylinder (F = 9.129; p < 0.001) were encountered which, when examined pair-wise, were found to originate in the differences between PK and DALK in spherical refraction and between PK and DMEK in cylinder. The largest and smallest refractive astigmatism corresponded to eyes intervened of PK (-2.85 D  $\pm$  2.05 D) and DMEK (-0.76 D  $\pm$  0.70 D), respectively. In terms of CS, statistically significant within-group differences were encountered at high spatial frequencies: 12 cpd (F = 5.570; p = 0.007) and 18 cpd (F = 11.541; p < 0.001). In particular, at 18 cpd, all pair-wise analyses revealed significant differences,

with DMEK (0.89  $\pm$  0.25) and DALK (0.30  $\pm$  0.25) patients achieving the best and worst CS outcomes, respectively. No statistically significant differences were found in DCVA.

**Table 3** displays a summary of the HDA measurements. An ANOVA test disclosed statistically significant differences in OSI (F = 6.231; p = 0.004), MTF cut-off (F = 3.272; p = 0.048) and Strehl Ratio (F = 4.182; p = 0.022) among the three procedures. A post-hoc pair-wise analysis revealed statistically significant differences between PK and DMEK in all these parameters, as well as between DALK and DMEK in OSI. Overall, DMEK presented the best outcome in terms of optical quality and scattering, with PK performing slightly better than DALK.

The measurements of the KR-1W are shown in **Table 4**. Statistically significant within-group differences were found in all parameters under evaluation. The highest and lowest ocular and corneal HOA values corresponded to DALK and DMEK, respectively, with statistically significant differences between PK and DMEK and between DALK and DMEK, and a similar performance of PK and DALK. Calculated mean internal HOAs were -0.57  $\mu$ m in PK, -0.92  $\mu$ m in DALK and -0.12  $\mu$ m in DMEK. Corneal astigmatism was also different between the three procedures (F = 11.842; p < 0.001), with statistically significant pair-wise differences between PK and DMEK and between DALK and DMEK. Corneal astigmatism was highest in PK patients (-3.31  $\pm$  2.00 D), followed by DALK patients (-2.68  $\pm$  0.94 D), and DMEK patients (-1.09  $\pm$  0.62 D). Finally, withingroup differences in pupil diameter were found (F = 12.812; p < 0.001). Patients intervened with DALK had larger pupils than PK patients and DMEK patients,

although statistically significant differences were only found between the pairs  ${\sf PK-DMEK} \ {\sf and} \ {\sf DMEK-DALK}.$ 

#### 4. DISCUSSION

Published literature is inconclusive regarding the superiority of a particular keratoplasty technique in regards to optical quality. Differences in study design, instrumentation and follow-up time, amongst other factors, may account for these discrepancies. In the present study, we aimed at examining and comparing objective and subjective visual quality in patients intervened with PK, DALK and DMEK. Although all procedures were conducted by the same experienced surgeon and in the same clinical setting, some study design limitations remained unresolved, mainly regarding within-group differences in age of patients and time since surgery. Indeed, whereas most DMEK patients continue to wear their own glasses after surgery, on account of the minor change in refraction associated with this technique, stability of visual acuity and refraction in PK may require a few months.<sup>29</sup> During this time, PK patients may have time for neural adaptation to their post-operative aberrations.<sup>14</sup> In summary, different results may have been obtained with a sample better matched in time since surgery.

Overall, patients intervened with DMEK were found to outperform PK and DALK patients in most of the examined visual function and refractive parameters, including refractive and corneal astigmatism, contrast sensitivity at high spatial frequencies, optical quality, scattering and corneal and ocular HOAs. It must be noted, however, that DMEK patients were older than PK and DALK patients, resulting in statistically significant differences in pupil diameter that may partially account for the superiority of this procedure in terms of CS. In contrast, albeit optical quality and aberrations are influenced by pupil diameter, all these

measurements were conducted with an artificial pupil of 4 mm, that is, pupil diameter may not be considered as a confounding variable for these parameters.

No statistically significant differences in DCVA were found between the techniques. These results are in agreement with previous reports comparing PK and endothelial keratoplasty procedures. 30,31 as well as PK and DALK, 15,16 although other authors describe a better performance of PK over DALK in DCVA. 18-20 It is interesting to note that many of these authors report that, in general, patients intervened with any type of keratoplasty fail to reach their full visual potential. In this regards, 7 out of 22 PK, 3 out of 7 DALK and 3 out of 17 DMEK patients had DCVA worse than 0.1 logMAR (0.8 decimal) at the time of the follow-up appointment. Increased scattering and HOA may account for the inability of some of these patients to overcome a particular visual acuity threshold. However, the encountered within-group differences in HOA and optical quality were not accompanied by corresponding differences in DCVA. This finding may suggest that either different mechanisms may be governing the relationship between these parameters in PK, DALK and DMEK or that DCVA is not as sensitive as CS at high spatial frequencies, in which DMEK patients scored better results than PK and DALK patients, to compare visual quality between keratoplasty techniques. In may be worth noting that CS at middle and high spatial frequencies has been documented to be particularly useful for target detection and identification tasks, even in patients in whom visual acuity is not excellent.32

Regarding the superiority of DMEK in terms of both ocular and corneal HOAs, it must be noted that the Placido disc/Hartmann-Shack configuration of the KR-1W allows for the measurement of ocular, that is, wavefront, aberrations, and of anterior corneal aberrations, which are determined from topographical height data. Internal corneal aberrations, including those originating at the posterior cornea and at the lens, may be estimated by subtracting anterior corneal from ocular HOAs. Thus, given mean internal HOAs of -0.57 µm in PK, -0.92 µm in DALK and -0.12 µm in DMEK, the actual impact of posterior HOAs on visual quality in DMEK patients was probably not significant. 33,34 These results, which are in agreement with previous reports, 21 are not unexpected, as the change in refractive index between the posterior cornea and the aqueous humor is smaller than the change occurring at the anterior surface between air and the cornea/tear film. Further research, including the evaluation of posterior height data, is needed to better understand this finding, however. Overall, DMEK, as the less invasive procedure of the three, was also found to present the best outcome in terms of optical quality and scattering.

Finally, it may be worth mentioning that within-group differences in age (DMEK patients were older) and particularly in time since surgery (more time in PK) may have resulted in an underestimation of the differences between the techniques. In effect, the loss with age of the ability of the posterior cornea to compensate anterior corneal HOA has been previously described,<sup>35</sup> as has the positive effect of neural adaptation on visual quality, particularly in PK patients.<sup>14</sup> In addition, given the recruitment difficulties encountered with DALK patients, with only 7 subjects in this group, the possibility of Type II error may

not be ruled out, *i.e.*, it may be speculated whether with a larger, more balanced sample, other statistically significant differences between techniques may have been uncovered.

In conclusion, as far as we know the present study is one of the first reports comparing quality of vision in PK, DALK and DMEK patients, and one of the few exploring ocular wavefront, as well as, anterior corneal height-data based aberrations. Although some study limitations remain that warrant further investigation, our findings give support to the overall superiority of DMEK over PK and DALK. The lack of statistically significant differences in DCVA between the procedures highlights the advantages of exploring other visual function parameters, such as CS, optical quality, scattering and HOAs, to assess the subtle differences in visual quality of these patients. Finally, in view of the present findings, we would advise eye care providers to manage these patients with contact lenses, instead of glasses, to improve post-operative VA, contrast sensitivity and to reduce HOA.

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**Table 1.** Demographic details, presented as mean ± standard deviation (SD), of PK, DALK and DMEK patients. Results of the ANOVA test and *post-hoc* Tukey pair-wise analysis are shown. Any p-value < 0.05 (in bold) denotes statistical significance

	Procedure			ANOVA		Tukey analysis	pair-wise
	PK	DALK	DMEK	F	р	Pairs	р
						PK-DMEK	0.021
Age (years)	49.60±15.46	44.00±9.45	61.59±11.34	5.857	0.006	PK-DALK	0.601
						DMEK-DALK	0.014
Sex (M/F)	13/9	3/4	7/10	0.675	0.515		
Time since						PK-DMEK	<0.001
intervention	84.82±82	21.28±8.42	18.37±12.46	17.666	<0.001	PK-DALK	0.001
(months)						DMEK-DALK	0.984

**Table 2.** Refractive and visual outcomes, presented as mean ± standard deviation (SD), of PK, DALK and DMEK patients. Results of the ANOVA test and *post-hoc* Tukey pair-wise analysis are shown. Any p-value < 0.05 denotes statistical significance

	Procedure			ANOVA		Tukey analysis	pair-wise
	PK	DALK	DMEK	F	р	Pairs	р
DCVA <sup>a</sup> (logMAR)	0.05±0.06	0.12±0.12	0.04±0.05	2.993	0.061		_
						PK-DMEK	0.675
Sphere (D)	1.06±1.60	-0.64±1.70	0.66±1.09	3.682	0.033	PK-DALK	0.025
						DMEK-DALK	0.121
						PK-DMEK	< 0.001
Cylinder (D)	-2.85±2.05	-2.28±0.82	-0.76±0.70	9.129	<0.001	PK-DALK	0.671
						DMEK-DALK	0.079
CS <sup>b</sup> 3 cpd <sup>c</sup> (log CS)	1.38±0.23	1.44±0.23	1.47±0.17	0.984	0.382		
CS 6 cpd (log CS)	1.54±0.25	1.50±0.23	1.66±0.24	1.686	0.197		
,						PK-DMEK	0.051
CS 12 cpd (log CS)	1.09±0.36	0.90±0.32	1.33±0.24	5.570	0.007	PK-DALK	0.354
						DMEK-DALK	0.010
						PK-DMEK	0.023
CS 18 cpd (log CS)	0.65±0.30	0.30±0.25	0.89±0.25	11.541	<0.001	PK-DALK	0.019
						DMEK-DALK	< 0.001

<sup>a</sup>DCVA: Distance corrected monocular visual acuity

<sup>b</sup>CS: Contrast sensitivity <sup>c</sup>cpd: cycles per degree

**Table 3.** Outcomes from the HD Analyzer, presented as mean ± standard deviation (SD) of PK, DALK and DMEK patients. Results of the ANOVA test and *post-hoc* Tukey pair-wise analysis are shown. Any p-value < 0.05 denotes statistical significance

		Procedure			ANOVA		Tukey analysis	pair-wise
		PK	DALK	DMEK	F	р	Pairs	р
OSIa		3.11±1.37	3.20±1.38	1.81±0.94	6.231	0.004	PK-DMEK PK-DALK DMEK-DALK	0.006 0.984 ( 0.040
MTF (cpd <sup>c</sup> )	cut-off <sup>b</sup>	17.20±7.37	19.27±10.67	24.02±8.44	3.272	0.048	PK-DMEK PK-DALK DMEK-DALK	<b>0.038</b> 0.833 ( 0.418
Strehl Ratio		0.112±0.041	0.103±0.045	0.144±0.052	4.182	0.022	PK-DMEK PK-DALK DMEK-DALK	<b>0.027</b> 0.989 ( 0.109

<sup>a</sup>OSI: objective scattering index

<sup>c</sup>cpd: cycles per degree

<sup>&</sup>lt;sup>b</sup>MTF cut-off: modulation transfer function cut-off frequency

**Table 4.** Results of the KR-1W Wavefront Analyzer, presented as mean ± standard deviation (SD) of PK, DALK and DMEK patients. Results of the ANOVA test and *post-hoc* Tukey pair-wise analysis are shown. Any p-value < 0.05 denotes statistical significance

		Procedure			ANOVA		Tukey analysis	pair-wise
		PK	DALK	DMEK	F	р	Pairs	<u></u>
Corneal RMS (µm	HOA	0.534±0.18	0.732±0.46	0.244±0.07	10.760	<0.001	PK-DMEK PK-DALK DMEK-DALK	
Ocular RMS <sup>b</sup> (μn	<b>HOA</b> <sup>a</sup>	0.477±0.16	0.640±0.50	0.232±0.105	7.214	0.002	PK-DMEK PK-DALK DMEK-DALK	<b>0.038</b> <b>0.004</b> ( 0.222
Corneal astigmat	ism (D)	3.31±2.00	2.68±0.94	1.09±0.62	11.842	<0.001	PK-DMEK PK-DALK DMEK-DALK	<b>&lt;0.001</b> 0.600 0.057
Pupil (mm)	diameter	5.68±0.77	6.39±0.57	4.90±0.72	12.812	<0.001	PK-DMEK PK-DALK DMEK-DALK	<b>0.005</b> 0.079 ( <b>&lt;0.001</b>

<sup>a</sup>HOA: High order aberrations <sup>b</sup>RMS: Root mean square