

# Influence of the Number of Samples of the Training Set on Accuracy of Color Measurement and Spectral Reconstruction

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**Abstract.** In this article the authors analyze the influence of the number of samples in a training set on the accuracy of color and spectral measurements made using a colorimetric and multispectral imaging system. The authors develop a method for establishing the minimum and/or sufficient number of color samples in the training set above which the system's performance is independent of the number of samples. The authors also consider the dependence of the system's performance on the training set itself. Two setups of a charge coupled device camera-based imaging system are used for this purpose: a colorimetric configuration with three acquisition channels and a multispectral configuration with seven acquisition channels. On the basis of the criterion established in this article, the results show that the system's performance in terms of the accuracy of color measurement and spectral reconstruction seems to be independent of the training set used when over 110 samples are used for the colorimetric configuration and over 120 samples for the multispectral configuration. This result is true for both the number of samples in the training set and the training set itself. The method that the authors developed can be generalized and implemented in the industry for any application in which an imaging capture device is used for color and spectral measurements. © 2010 Society for Imaging Science and Technology.  
[DOI: XXXX]

## INTRODUCTION

In recent years, industry has widely used commercially available standard digital imaging systems for color measurement. The popularity of these systems is mainly due to their low cost and size, which means that they can be embedded in production lines. If an imaging system is to be used as a device for measuring color, its spectral sensitivities should be similar—or linearly related—to the color matching functions of the CIE standard observer. However, this is often not the case with conventional RGB color cameras, which are normally designed to achieve a good color appearance rather than high fidelity color reproduction. The results provided by standard imaging systems are therefore not as good as those obtained with specific colorimetric instruments or cameras with optimized spectral sensitivities.<sup>1</sup> However, they can still be useful for industrial processes that require less color accuracy, such as in the automobile accessories industry and in the production of large format televisions and

printers. Moreover, multispectral imaging systems with more than three acquisition channels can be used to overcome some of the limitations of conventional color systems, thus allowing more accurate color measurements or even spectral reconstructions.<sup>2</sup> For this reason, it is of great importance to characterize any imaging system colorimetrically or even spectrally.

The colorimetric characterization of an imaging system is the process of deriving the transformation that defines the correspondence between the camera's digital responses and a color space that is independent of the device, which may be either XYZ or CIELAB. This process is essential to achieve high fidelity color measurements. An initial approach for this purpose is to use methods of colorimetric characterization based on spectral sensitivities,<sup>3</sup> which require the previous measurement of the system's spectral sensitivities. The relationship between the camera's spectral sensitivities and the CIE color matching functions must then be found so that it can be used to transform the system's digital responses into XYZ values. These methods are usually only applied to colorimetric configurations of imaging systems, i.e., ones with three acquisition channels, due to the growing complexity when the number of acquisition channels is increased. Furthermore, although all the steps in this method are very clear conceptually, their application involves several fittings of experimental data, which leads to a considerable amount of errors that can easily be accumulated in the estimations of the XYZ values, particularly if the system's spectral sensitivities are not the most suitable.

However, another approach can be used to transform a conventional imaging system into an instrument for color measurement or spectral reconstruction. It involves methods that establish a direct relationship between the digital levels of the response of the imaging system and the corresponding tristimulus values or, equivalently, the reflectance spectra.<sup>4</sup> These methods are faster and generally require a set of color samples called the training set to train and characterize the imaging system. In addition, they need another set of color samples, called the test set, to test the system's characterization and evaluate the accuracy of the subsequent estimation. The validity of this alternative colorimetric characterization is based on the fact that many industrial applications only

93 require color measurements or spectral reconstructions of  
 94 certain color patterns, which usually have a rather limited  
 95 color gamut. Therefore, if we use a properly selected training  
 96 set that includes representative color samples similar to those  
 97 that will be later measured, this type of colorimetric calibra-  
 98 tion can provide good enough results.

99 In such cases, both the training and the test sets can be  
 100 made up by physical color charts or sets of color samples  
 101 that are specially selected or manufactured. There are no  
 102 universal training and test sets to characterize an imaging  
 103 system, although the GretagMacbeth ColorChecker DC  
 104 Chart (CCDC),<sup>5-8</sup> and the GretagMacbeth ColorChecker  
 105 Color Rendition Chart (CCCR),<sup>6,9,10</sup> the IT8 chart,<sup>8,11</sup> the  
 106 color samples of the Munsell Book of Color,<sup>12,13</sup> and the  
 107 color samples of the NCS (Ref. 14) are widely used. How-  
 108 ever, in many cases the training and test sets are selected  
 109 depending on the application of the imaging system: for  
 110 example, the color samples may be made from pigments  
 111 used in painting for restoration and preservation  
 112 applications<sup>5,6,15,16</sup> or from natural objects.<sup>5,6,12,14,17,18</sup>

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113 There are several methods and criteria for selecting the  
 114 color samples that constitute the training and test sets of an  
 115 imaging system. The aim is to generate a set of color samples  
 116 that are as diverse as possible so that the maximum number  
 117 of systems can be characterized with the minimum number  
 118 of color samples.<sup>2,4,14,19,20</sup> The number of samples that are  
 119 needed in the training set to meet this objective depends on  
 120 the specific selection method used. However, the depen-  
 121 dence of the system's accuracy on the number of samples in  
 122 the training set, regardless of the selection method, has not  
 123 been thoroughly considered to date.

124 If we assume that the diversity of the color samples in  
 125 the training set is assured for all sizes, we can assume that  
 126 the greater the number of samples, the more accurate both  
 127 the color measurement and the spectral reconstruction.  
 128 However, it can be assumed that the increase in accuracy is  
 129 not unlimited and that there must be a minimum and/or  
 130 "sufficient number of samples" above which improvements  
 131 in accuracy are negligible or nil when the number of samples  
 132 is increased.

133 Regarding the influence of the number of color samples  
 134 in the training set on the accuracy of the estimation made by  
 135 the imaging system, previous studies have shown that, for a  
 136 trichromatic camera, the accuracy of the colorimetric esti-  
 137 mation (CIE XYZ tristimulus values) rose as the number of  
 138 color samples in the training set increased up to approxi-  
 139 mately 60 samples. There was no noticeable improvement in  
 140 training sets with over 60 samples. Hence, it can be estab-  
 141 lished that there is a kind of "limit" in accuracy improve-  
 142 ments brought about by increasing the number of color  
 143 samples in the training set.<sup>11,14,21</sup> It appears that a training  
 144 set with between 40 and 60 color samples is needed to ob-  
 145 tain an estimate with reasonable accuracy.<sup>11,21</sup> The mean er-  
 146 ror in color measurements made by spectral reconstruction  
 147 using training sets with a greater number of samples is prac-  
 148 tically independent of the size of the training set of the im-  
 149 aging system.<sup>14,21</sup>

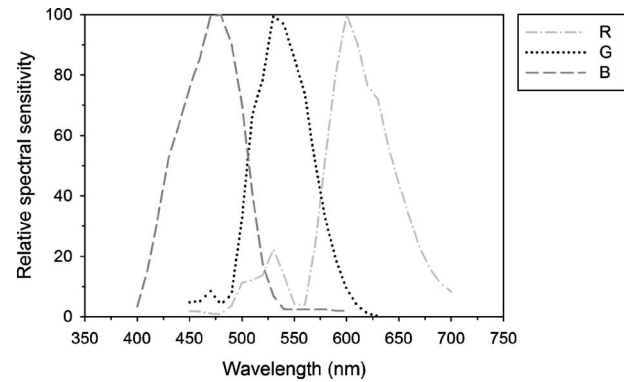


Figure 1. Relative spectral sensitivities of the channels used in the colorimetric configuration of the imaging system (RGB tunable filter and CCD camera).

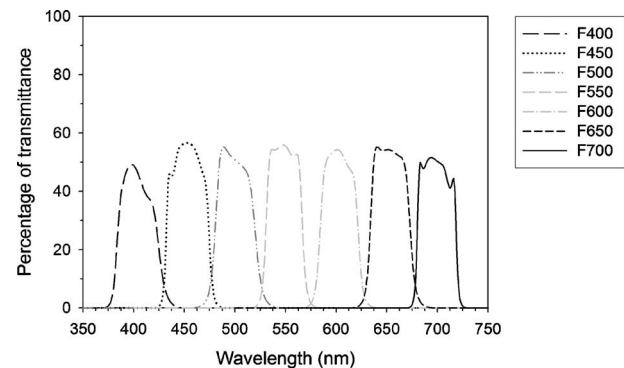


Figure 2. Transmittance spectra of the interference filters used in the multispectral configuration of the imaging system. Interference filters are named by their central wavelength.

In this article, we analyze the influence of the number of  
 150 samples in the training set on the system's accuracy in color  
 151 measurement and spectral reconstruction. We consider the  
 152 dependence of the system's performance on the size of the  
 153 training set and on the training set itself. Hence, we develop  
 154 a method for establishing the minimum and/or sufficient  
 155 number of color samples in the training set above which the  
 156 system's performance is independent of the number of  
 157 samples. Two configurations of a charge coupled device  
 158 (CCD) camera-based imaging system are used for this pur-  
 159 pose: a colorimetric configuration with three acquisition  
 160 channels and a multispectral configuration with seven acqui-  
 161 sition channels.<sup>22</sup> This article is considered to be the first  
 162 step in the process of analyzing a system's accuracy in color  
 163 measurement and spectral reconstruction since it allows us  
 164 to define the training set used in any colorimetric or multi-  
 165 spectral imaging system, taking into account the accuracy  
 166 needed for the specific application.<sup>167</sup>

The paper is structured as follows. The next section  
 168 describes the experimental setup and the configurations of  
 169 the imaging system, the methods used to perform the color  
 170 measurement and spectral reconstruction, and the selection  
 171 criterion applied to obtain a training set that has the greatest  
 172

**Table I.** Correspondence between the values of the *inca* and *incb* variables used in the selection criterion and the number of color samples selected from the CCDC chart.

<i>inca=incb</i>	No. of color samples	<i>inca=incb</i>	No. of color samples
17.00	10	2.15	100
9.20	20	1.69	110
6.98	30	1.45	120
5.35	40	1.22	130
4.50	50	0.80	140
4.00	60	0.58	150
3.12	70	0.20	160
2.68	80	0.05	166
2.36	90		

173 possible variety of samples in terms of color ranges. The  
174 results section presents a summary of the results obtained  
175 for the colorimetric and multispectral configurations of the  
176 CCD camera-based imaging system. Finally, the most rel-  
177 evant conclusions are discussed in the last section.

## 178 MATERIAL AND METHOD

### 179 *Experimental Setup and Configurations*

180 We used an imaging system based on a QImaging QICAM  
181 Fast 1394 monochrome 12-bit cooled CCD camera and a  
182 Nikon AF Nikkor 28–105 mm objective lens. Two configu-  
183 rations of this imaging system are considered: a colorimetric  
184 configuration with three acquisition channels and a multi-  
185 spectral configuration with seven acquisition channels.<sup>22</sup>

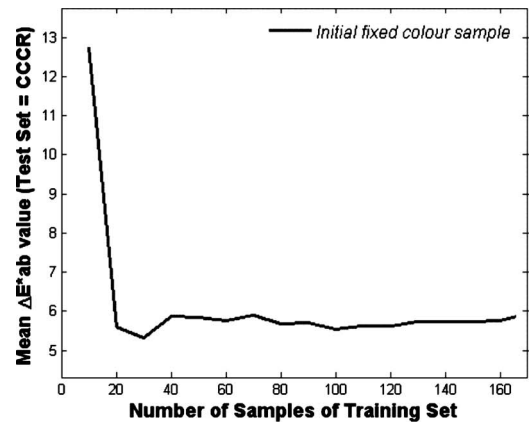
186 The colorimetric configuration is obtained by inserting  
187 between the CCD camera and the objective lens a QImaging  
188 RGB-HM-NS tunable filter, which is controlled through the  
189 camera via software (Figure 1).

190 The multispectral configuration is obtained by inserting  
191 between the CCD camera and the objective lens a motorized  
192 filter wheel with seven CVI laser interference filters covering  
193 the whole visible range of the spectrum and controlled via  
194 software. The interference filters used have peak positions or  
195 central wavelengths (CWLs) at 400, 450, 500, 550, 600, 650,  
196 and 700 nm. All of them have full widths at half maximums  
197 (FWHMs) of 40 nm, and their peak transmittances are 35%,  
198 45%, and 50%, depending on the CWL (Figure 2).

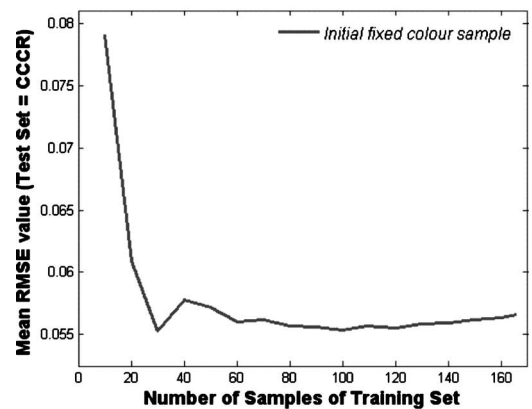
199 The training sets considered in this study are made up  
200 of a previous selection of color samples from the widely used  
201 GretagMacbeth ColorChecker DC Chart (CCDC, 166 useful  
202 color samples). The GretagMacbeth ColorChecker Color  
203 Rendition Chart (CCCR, 24 color samples) is used as the  
204 test set. These color charts are placed in a special light booth.  
205 Incandescent lamps provide a uniform illumination field  
206 over the charts when they are placed at the bottom of the  
207 booth. The color samples are imaged using the two configu-  
208 rations of the imaging system, and their reflectance spectra  
209 are also measured using a tele-spectracolorimeter  
210 PhotoResearch PR650 with an MS-75 objective lens.

### 211 *Methods and Selection Criterion*

212 The following methods are used for the color measurement  
213 and spectral reconstruction from the system's digital re-



(a)



(b)

Figure 3. Colorimetric configuration: (a) mean CIELAB color difference and (b) mean RMSE values plotted vs the number of color samples in the training set (initial fixed color sample).

214 sponses: the pseudoinverse (PSE) method<sup>2,6,23–25</sup> for the  
215 colorimetric configuration; and the principal component  
216 analysis (PCA) method<sup>1,2,6,25,26</sup> for the multispectral con-  
217 figuration.

218 In the PSE method, a transformation matrix directly  
219 relates the reflectance spectra of the color samples to the  
220 corresponding digital responses of the imaging system. Us-  
221 ing a training set of  $N$  color samples with known reflectance  
222 spectra, the transformation matrix ( $D_{PSE}$ ) is determined by  
223 applying the Moore-Penrose pseudoinverse matrix as fol-  
224 lows:

$$R_{(41 \times N)} = D_{PSE(41 \times k)} \cdot P(k)_{(k \times N)}, \quad (1) \quad 225$$

$$D_{PSE} = R \cdot P(k)^t \cdot [P(k) \cdot P(k)^t]^{-1}, \quad (2) \quad 226$$

227 where  $R$  is the  $41 \times N$  matrix of reflectance spectra for the  $N$   
228 color samples in the training set in which the reflectance  
229 spectrum is sampled from 380 to 780 nm in intervals of 10  
230 nm, and  $P(k)$  is the  $k \times N$  matrix of the digital responses of  
231 the  $k$  acquisition channels of the imaging system for the  $N$   
232 color samples in the training set.

233 This transformation matrix allows the reflectance spec-  
234 trum of any color sample to be estimated from its digital

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**Table II.** Colorimetric configuration: mean, minimum, maximum, and standard deviation of the CIELAB color difference and the RMSE values obtained using different sized training sets (initial fixed color sample) from the CCDC chart. The CCCR chart was used as the test set.

No. of color samples	Mean $\Delta E_{ab}^*$	min $\Delta E_{ab}^*$	max $\Delta E_{ab}^*$	Std. dev $\Delta E_{ab}^*$	Mean RMSE	Min RMSE	Max RMSE	Std. dev RMSE
10	12.751	2.179	90.215	19.211	$7.905 \times 10^{-2}$	$2.918 \times 10^{-2}$	$1.989 \times 10^{-1}$	$4.352 \times 10^{-2}$
20	5.606	0.295	12.216	3.278	$6.086 \times 10^{-2}$	$2.647 \times 10^{-2}$	$1.671 \times 10^{-1}$	$3.201 \times 10^{-2}$
30	5.317	1.777	13.503	3.356	$5.524 \times 10^{-2}$	$3.307 \times 10^{-2}$	$1.688 \times 10^{-1}$	$2.802 \times 10^{-2}$
40	5.885	2.577	14.097	3.358	$5.779 \times 10^{-2}$	$3.405 \times 10^{-2}$	$1.746 \times 10^{-1}$	$3.053 \times 10^{-2}$
50	5.852	1.941	14.118	3.511	$5.717 \times 10^{-2}$	$2.923 \times 10^{-2}$	$1.742 \times 10^{-1}$	$3.055 \times 10^{-2}$
60	5.763	2.198	14.110	3.460	$5.607 \times 10^{-2}$	$2.762 \times 10^{-2}$	$1.735 \times 10^{-1}$	$3.030 \times 10^{-2}$
70	5.903	2.256	14.148	3.426	$5.616 \times 10^{-2}$	$3.075 \times 10^{-2}$	$1.732 \times 10^{-1}$	$2.989 \times 10^{-2}$
80	5.681	2.323	13.967	3.431	$5.569 \times 10^{-2}$	$2.983 \times 10^{-2}$	$1.720 \times 10^{-1}$	$2.950 \times 10^{-2}$
90	5.710	2.291	13.891	3.411	$5.561 \times 10^{-2}$	$3.035 \times 10^{-2}$	$1.711 \times 10^{-1}$	$2.898 \times 10^{-2}$
100	5.537	2.089	13.719	3.393	$5.534 \times 10^{-2}$	$3.082 \times 10^{-2}$	$1.717 \times 10^{-1}$	$2.929 \times 10^{-2}$
110	5.628	2.225	13.794	3.333	$5.569 \times 10^{-2}$	$3.213 \times 10^{-2}$	$1.706 \times 10^{-1}$	$2.872 \times 10^{-2}$
120	5.625	2.265	13.837	3.368	$5.556 \times 10^{-2}$	$2.987 \times 10^{-2}$	$1.715 \times 10^{-1}$	$2.933 \times 10^{-2}$
130	5.735	2.312	13.977	3.415	$5.586 \times 10^{-2}$	$2.967 \times 10^{-2}$	$1.716 \times 10^{-1}$	$2.945 \times 10^{-2}$
140	5.726	2.255	13.858	3.409	$5.597 \times 10^{-2}$	$3.046 \times 10^{-2}$	$1.710 \times 10^{-1}$	$2.911 \times 10^{-2}$
150	5.749	2.218	13.879	3.404	$5.622 \times 10^{-2}$	$3.152 \times 10^{-2}$	$1.716 \times 10^{-1}$	$2.928 \times 10^{-2}$
160	5.777	2.219	13.905	3.410	$5.633 \times 10^{-2}$	$3.118 \times 10^{-2}$	$1.723 \times 10^{-1}$	$2.963 \times 10^{-2}$
166	5.886	2.241	13.962	3.414	$5.658 \times 10^{-2}$	$3.215 \times 10^{-2}$	$1.728 \times 10^{-1}$	$2.972 \times 10^{-2}$

235 responses provided that the training set is a good represen-  
 236 tation of all the color samples that will be measured with the  
 237 imaging system.

238 In the PCA method, a previous principal component  
 239 analysis is applied to the matrix of reflectance spectra for the  
 240 color samples in the training set to obtain the eigenvector  
 241 basis and the coefficients of the reflectance spectra on this  
 242 basis:

$$243 \quad R_{(41 \times N)} = V_{(41 \times p)} \cdot C_{(p \times N)}, \quad (3)$$

244 where  $V$  is the  $41 \times p$  matrix for the  $p$  first eigenvectors, and  
 245  $C$  is the  $p \times N$  matrix of scalar coefficients of the reflectance  
 246 spectra for the  $N$  color samples in the training set on the  
 247 eigenvector basis  $V$ .

248 The scalar coefficients of the reflectance spectra of the  $N$   
 249 color samples in the training set are related to the digital  
 250 responses of the imaging system by means of a transforma-  
 251 tion matrix ( $D_{PCA}$ ) that is determined by applying the  
 252 Moore-Penrose pseudoinverse matrix:

$$253 \quad C_{(p \times N)} = D_{PCA(p \times k)} \cdot P(k)_{(k \times N)}, \quad (4)$$

$$254 \quad D_{PCA} = C \cdot P(k)^t \cdot [P(k) \cdot P(k)^t]^{-1}. \quad (5)$$

255 This transformation matrix allows the coefficients of any  
 256 color sample to be calculated on the eigenvector basis from  
 257 the digital responses of the imaging system. The linear com-  
 258 bination of the eigenvectors with the calculated coefficients  
 259 provides an estimation of the reflectance spectrum of the

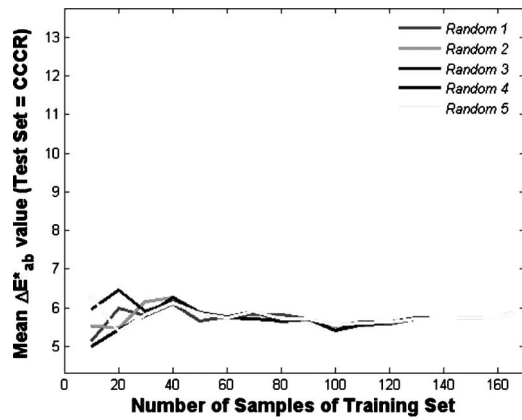
color sample. From the spectral reflectances estimated using 260  
 the PSE and PCA methods, the CIELAB coordinates of each 261  
 color sample can be easily computed. 262

The selection criterion applied in this article to obtain a 263  
 training set with the greatest variety of samples possible, in 264  
 terms of color ranges, for each number of samples consid- 265  
 ered is based on differences in the  $a^*$  ( $\Delta a^*$ ) and  $b^*$  ( $\Delta b^*$ ) 266  
 CIELAB coordinates between each pair of color samples in 267  
 the final selected set. Each pair of selected samples must 268  
 fulfill: 269

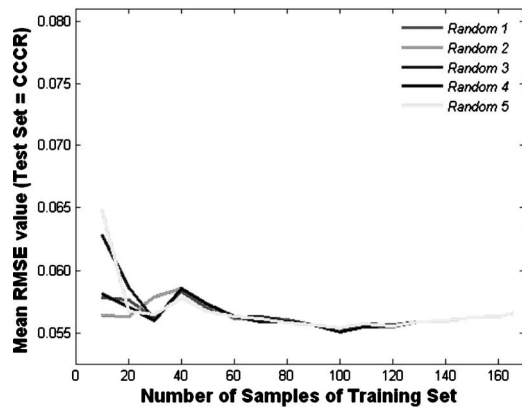
$$\Delta a^* \geq inca \quad \text{and} \quad \Delta b^* \geq incb \quad (6) \quad 270$$

where the values for the *inca* and *incb* variables are chosen 271  
 so that  $inca = incb$  for simplicity, and allow us to establish 272  
 the number of color samples to be selected. 273

The two configurations of the imaging system are 274  
 trained using training sets of sizes between 10 and 166 color 275  
 samples in steps of ten samples (Table I), and their perfor- 276  
 mance is tested using the 24 color samples from the CCCR 277  
 chart. The accuracy of the color measurement is evaluated in 278  
 terms of the mean, minimum, maximum, and standard de- 279  
 viation of the CIELAB color difference between the esti- 280  
 mated and the measured tristimulus values of the CCCR 281  
 color samples. The accuracy of the spectral reconstruction is 282  
 evaluated in terms of the mean, minimum, maximum, and 283  
 standard deviation of the root mean square error (RMSE) 284  
 between the reconstructed and the measured reflectance 285  
 spectra of the CCCR color samples. 286



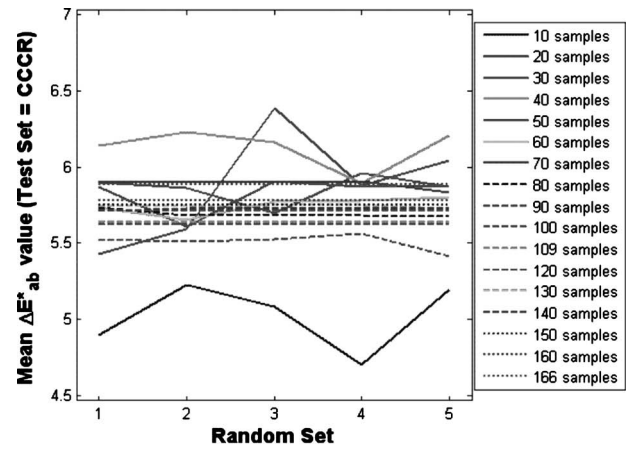
(a)



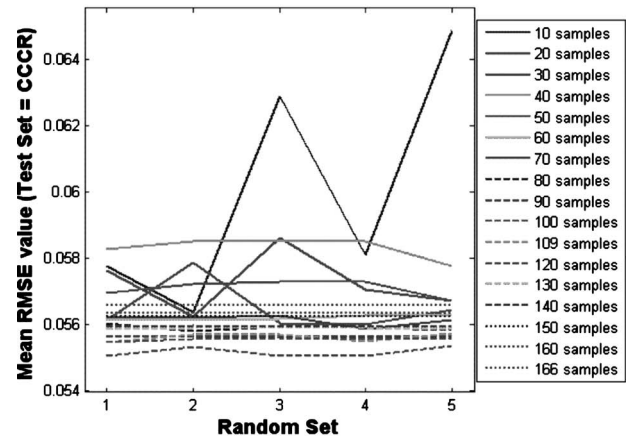
(b)

Figure 4. Colorimetric configuration: (a) mean CIELAB color difference and (b) mean RMSE values plotted versus the number of color samples in the training set for the five initial randomly selected color samples and the resulting training sets.

287 We carry out two analyses of the training set selection  
 288 process. In the first analysis, the first useful non-neutral  
 289 color sample from the CCDC chart (color sample B2) is  
 290 used as the initial fixed color sample. Starting from this  
 291 sample, training sets of different sizes are selected from the  
 292 CCDC color samples by applying the selection criterion row  
 293 by row. That is, the first pair of color samples to be com-  
 294 pared is B2 and C2. If they fulfill  $\Delta a^* \geq inca$  and  $\Delta b^*$   
 295  $\geq incb$ , C2 is incorporated into the training set and com-  
 296 pared with the next available patch, in this case, D2. If the  
 297 color samples do not meet the imposed condition, sample  
 298 C2 is rejected and B2 is again compared with the next avail-  
 299 able patch (D2). This process continues until the necessary  
 300 number of color samples is reached for each size of the  
 301 training set. Therefore, the selection of the patches depends  
 302 strongly on the initial fixed color sample. With this first  
 303 analysis, the results will show that the performance of the  
 304 imaging system depends on the size of the training set but  
 305 not on the training set itself, since the training sets that are  
 306 selected will be specific to the initial fixed color sample and  
 307 mainly of lower sizes. To overcome this limitation and ana-  
 308 lyze the dependence of the system's performance on different  
 309 sized training sets, a second analysis is performed. In this  
 310 second analysis, an initial color sample is selected randomly



(a)



(b)

Figure 5. Colorimetric configuration: (a) mean CIELAB color difference values and (b) mean RMSE values obtained using the five training sets generated from an initial randomly selected CCDC color sample, for all sizes of the training set considered. CCCR color samples were used as the test set.

from the CCDC chart (initial randomly selected color 311  
 sample). Then, the rest of the color samples are selected by 312  
 applying the former selection criterion to this starting point 313  
 for all sizes considered. The process is repeated five times to 314  
 provide five random training sets for each size, which consist 315  
 of very different subsets of the CCDC color samples. The 316  
 comparison of results obtained using these training sets en- 317  
 ables us to analyze the influence of the training set on the 318  
 system's performance depending on its size. 319

## RESULTS 320

Next, we present the results of the two analyses performed 321  
 for the colorimetric and the multispectral configurations of 322  
 the CCD camera-based imaging system. 323

### Colorimetric Configuration 324

#### Analysis I: Initial Fixed Color Sample 325

As expressed earlier, the first useful CCDC color sample (the 326  
 B2 color sample) is used in this analysis as the initial fixed 327  
 color sample. Starting from this point, the rest of the color 328  
 samples in the training sets, for all sizes considered, are se- 329  
 lected row by row from the color samples of the CCDC 330  
 chart by applying the selection criterion. 331

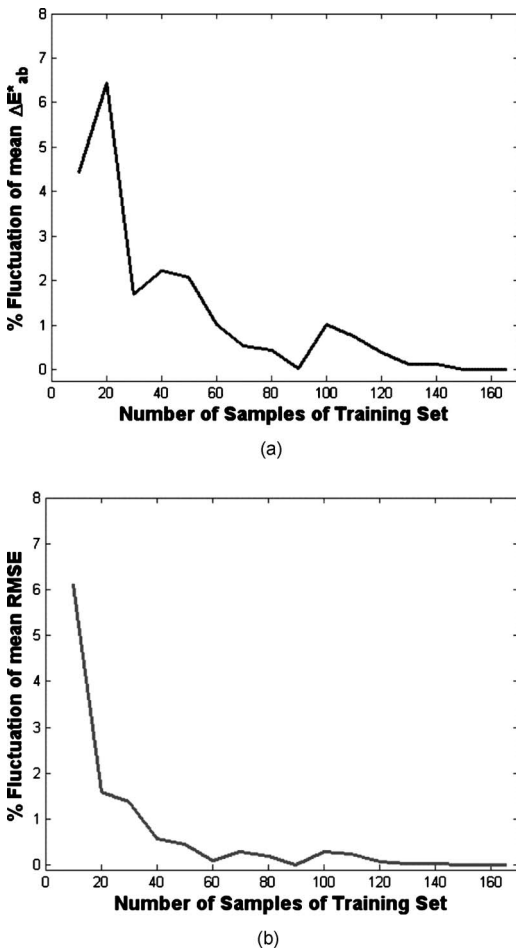


Figure 6. Colorimetric configuration: percentage of fluctuation of (a) the mean CIELAB color difference values, and (b) the mean RMSE values between results obtained using the five random training sets, depending on the number of samples in the training set. The CCCR chart was used as the test set.

332 The results obtained in this analysis indicate the ten-  
 333 dency of the system’s performance depending on the num-  
 334 ber of samples in the training set, assuming that the behav-  
 335 ior of the system’s performance is similar when we consider  
 336 training sets of different sizes selected from a different initial  
 337 color sample. The validity of this assumption and the influ-  
 338 ence of the training set on the system’s performance are  
 339 studied in analysis II.

340 There is a limit to the improvement in the accuracy of  
 341 color measurement and spectral reconstruction when the  
 342 number of color samples in the training set is increased  
 343 (Figure 3, Table II). Improvement appears to be negligible  
 344 when there are more than about 60 color samples in the  
 345 training set. This is consistent with previous studies.<sup>11,14,21</sup>

346 These results prove that there are a relatively low mini-  
 347 mum and/or sufficient number of color samples for the  
 348 training set of the imaging system. After this point, increases  
 349 in the number of samples in the training set do not lead to  
 350 noticeable improvements in the system’s performance.

351 *Analysis II: Initial Randomly Selected Color Sample*

352 In this second analysis, the initial color sample is randomly  
 353 selected from the CCDC chart. Starting from this point, the

Table III. Colorimetric configuration: mean, minimum, maximum, and standard deviation of the CIELAB color difference and the RMSE values obtained using the five random training sets from the CCDC chart, with 110 samples. The CCCR chart was used as the test set. The corresponding percentages of fluctuation are also given.

	Mean $\Delta E_{ab}^*$	min $\Delta E_{ab}^*$	max $\Delta E_{ab}^*$	Std. dev $\Delta E_{ab}^*$
Random 1	5.535	2.201	13.737	3.322
Random 2	5.628	2.210	13.794	3.333
Random 3	5.616	2.169	13.765	3.325
Random 4	5.552	2.165	13.747	3.325
Random 5	5.613	2.168	13.752	3.332
Mean	5.589	2.183	13.759	3.327
Std. dev	0.043	0.021	0.022	0.005
%fluctuation	0.761	0.971	0.159	0.145

	Mean RMSE	Min RMSE	Max RMSE	Std.dev RMSE
Random 1	$5.545 \times 10^{-2}$	$3.240 \times 10^{-2}$	$1.705 \times 10^{-1}$	$2.885 \times 10^{-2}$
Random 2	$5.569 \times 10^{-2}$	$3.273 \times 10^{-2}$	$1.706 \times 10^{-1}$	$2.872 \times 10^{-2}$
Random 3	$5.571 \times 10^{-2}$	$3.284 \times 10^{-2}$	$1.706 \times 10^{-1}$	$2.870 \times 10^{-2}$
Random 4	$5.550 \times 10^{-2}$	$3.234 \times 10^{-2}$	$1.705 \times 10^{-1}$	$2.882 \times 10^{-2}$
Random 5	$5.574 \times 10^{-2}$	$3.313 \times 10^{-2}$	$1.707 \times 10^{-1}$	$2.871 \times 10^{-2}$
Mean	$5.562 \times 10^{-2}$	$3.269 \times 10^{-2}$	$1.706 \times 10^{-1}$	$2.876 \times 10^{-2}$
Std. dev	$1.329 \times 10^{-4}$	$3.257 \times 10^{-4}$	$8.367 \times 10^{-5}$	$6.964 \times 10^{-5}$
%fluctuation	0.239	0.996	0.049	0.242

rest of the color samples in the training sets are selected row  
 354 by row from the CCDC color samples by applying the selec-  
 355 tion criterion for all sizes considered. Five initial color  
 356 samples are selected randomly and used to generate the sub-  
 357 sequent random training sets for the different sizes consid-  
 358 ered.  
 359

Slightly different accuracies in the system’s performance  
 360 are obtained using the five different random training sets for  
 361 fewer than about 80 color samples (Figure 4). The system’s  
 362 performance fluctuates when the five different random  
 363 training sets of the same size are used. This is due to the  
 364 system’s dependence on the training set, which tends to con-  
 365 verge for the equally sized five different random training sets  
 366 when the number of color samples is above 80.  
 367

The dependence of the system’s performance (accuracy  
 368 of color measurement and spectral reconstruction) on the  
 369 training set is clearly seen through a direct comparison of  
 370 the results obtained using the five different random training  
 371 sets for the different sizes considered (Figure 5). As can be  
 372 observed, the smaller the number of samples in the training  
 373 set, the greater the fluctuations between the CIELAB color  
 374 difference and the RMSE values obtained using the five ran-  
 375 dom training sets.  
 376

It is difficult to determine the exact number of samples  
 377 in the training set above which the system’s performance is  
 378 independent of the set and of the number of color samples.  
 379 Consequently, taking into account several parameters calcu-  
 380 lated from the individual results for each of the five random  
 381

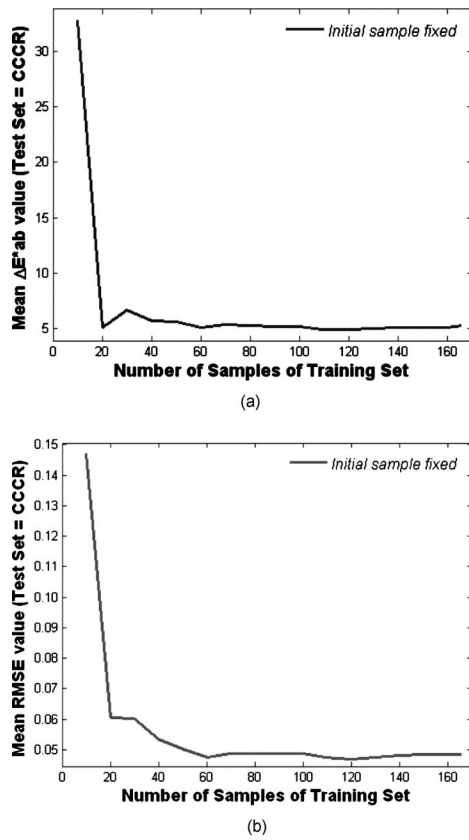


Figure 7. Multispectral configuration: (a) mean CIELAB color difference and (b) mean RMSE values plotted versus the number of color samples in the training set (initial fixed color sample).

training sets—specifically the mean, minimum, maximum, and standard deviation of the CIELAB color differences and the RMSE values. Percentages of fluctuation defined as follows have been computed for each size:

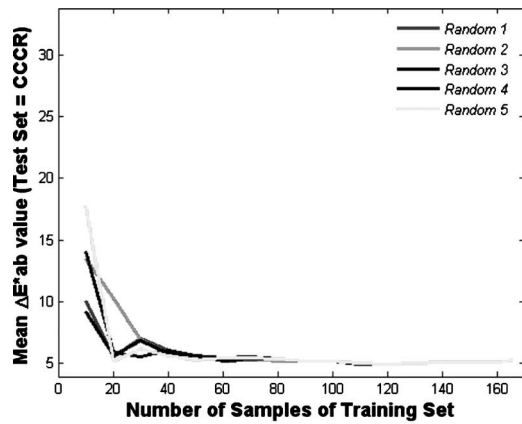
$$\%Fluctuation = 100 \frac{\text{std. dev}(\text{mean})}{\text{mean}} \tag{7}$$

For instance, if the mean CIELAB color difference is analyzed, std. dev is the standard deviation of the color difference (mean) of the five random training sets. If the minimum color difference is considered, std. dev. is the standard deviation of the mean minimum color difference (mean) of the five random training sets. The same analysis has been carried out for the maximum color difference and the standard deviation, thus providing four percentages which account for the fluctuation of the system depending on the size of the training set used. The same analysis has been carried out for RMSE values, providing four additional percentages of fluctuation. Therefore, eight percentages of fluctuation are obtained for each size of the training set.

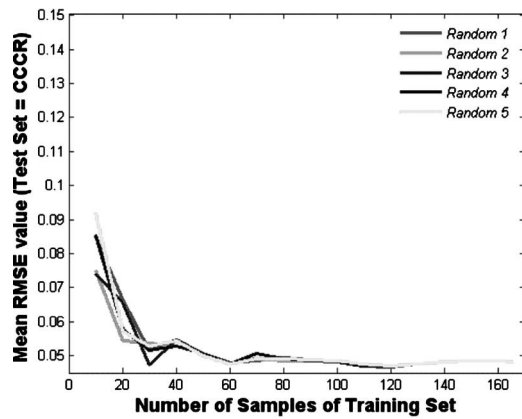
These percentages are used to establish the minimum and/or sufficient number of color samples necessary to properly train any imaging system. Specifically, the criterion used in this paper has been chosen as the minimum number of color samples that provides all eight percentages of fluctuation below 1%. However, this criterion can be suitably modified depending on the color and spectral accuracy

**Table IV.** Multispectral configuration: mean, minimum, maximum and standard deviation of the CIELAB color difference and the RMSE values obtained using different sized training sets (initial fixed color sample) from the CCDC chart. The CCCR chart was used as the test set.

No. of color samples	Mean $\Delta E_{ab}^*$	min $\Delta E_{ab}^*$	max $\Delta E_{ab}^*$	Std. dev $\Delta E_{ab}^*$	Mean RMSE	Min RMSE	Max RMSE	Std. dev RMSE
10	32.756	2.704	124.469	34.993	$1.469 \times 10^{-1}$	$1.541 \times 10^{-2}$	$5.474 \times 10^{-1}$	$1.379 \times 10^{-1}$
20	5.072	1.524	14.669	3.099	$6.050 \times 10^{-2}$	$2.166 \times 10^{-2}$	$1.616 \times 10^{-1}$	$3.235 \times 10^{-2}$
30	6.712	1.044	31.116	8.048	$6.020 \times 10^{-2}$	$2.396 \times 10^{-2}$	$1.546 \times 10^{-1}$	$3.642 \times 10^{-2}$
40	5.722	1.662	21.737	4.346	$5.335 \times 10^{-2}$	$2.683 \times 10^{-2}$	$8.531 \times 10^{-2}$	$1.708 \times 10^{-2}$
50	5.627	1.679	24.508	4.880	$5.033 \times 10^{-2}$	$2.572 \times 10^{-2}$	$9.066 \times 10^{-2}$	$1.654 \times 10^{-2}$
60	5.135	1.564	21.397	4.177	$4.755 \times 10^{-2}$	$2.269 \times 10^{-2}$	$7.803 \times 10^{-2}$	$1.485 \times 10^{-2}$
70	5.346	1.682	23.328	4.624	$4.870 \times 10^{-2}$	$2.063 \times 10^{-2}$	$8.471 \times 10^{-2}$	$1.642 \times 10^{-2}$
80	5.308	1.633	23.437	4.645	$4.865 \times 10^{-2}$	$1.996 \times 10^{-2}$	$8.691 \times 10^{-2}$	$1.676 \times 10^{-2}$
90	5.218	1.587	22.196	4.353	$4.890 \times 10^{-2}$	$2.099 \times 10^{-2}$	$8.267 \times 10^{-2}$	$1.569 \times 10^{-2}$
100	5.170	1.620	20.424	3.963	$4.870 \times 10^{-2}$	$2.271 \times 10^{-2}$	$7.601 \times 10^{-2}$	$1.475 \times 10^{-2}$
110	4.951	1.320	19.858	3.894	$4.742 \times 10^{-2}$	$2.400 \times 10^{-2}$	$7.233 \times 10^{-2}$	$1.389 \times 10^{-2}$
120	4.924	1.119	19.511	3.802	$4.694 \times 10^{-2}$	$2.371 \times 10^{-2}$	$7.195 \times 10^{-2}$	$1.400 \times 10^{-2}$
130	5.002	1.131	19.894	3.868	$4.749 \times 10^{-2}$	$2.378 \times 10^{-2}$	$7.307 \times 10^{-2}$	$1.382 \times 10^{-2}$
140	5.063	1.235	19.868	3.848	$4.818 \times 10^{-2}$	$2.464 \times 10^{-2}$	$7.431 \times 10^{-2}$	$1.389 \times 10^{-2}$
150	5.107	1.143	20.003	3.871	$4.850 \times 10^{-2}$	$2.429 \times 10^{-2}$	$7.595 \times 10^{-2}$	$1.411 \times 10^{-2}$
160	5.141	1.379	20.339	3.918	$4.851 \times 10^{-2}$	$2.380 \times 10^{-2}$	$7.729 \times 10^{-2}$	$1.443 \times 10^{-2}$
166	5.285	1.794	21.005	3.971	$4.835 \times 10^{-2}$	$2.380 \times 10^{-2}$	$7.873 \times 10^{-2}$	$1.457 \times 10^{-2}$



(a)



(b)

Figure 8. Multispectral configuration: mean (a) CIELAB color difference and (b) RMSE values plotted versus the number of color samples in the training set for the five initial randomly selected color samples and the resulting training sets.

407 needed when the imaging system is used for any specific  
408 industrial application.

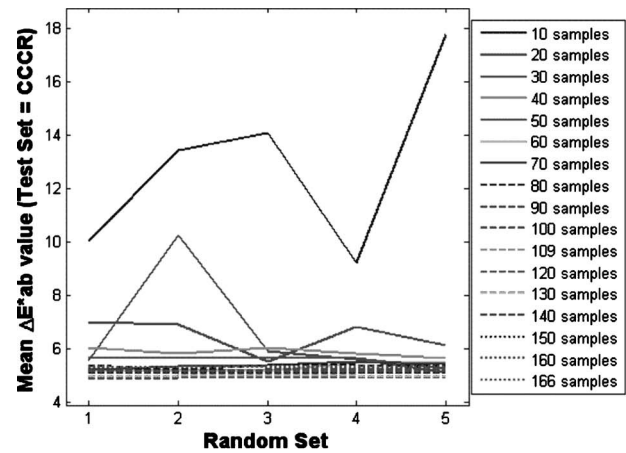
409 Figure 6 shows the percentages corresponding to the  
410 mean CIELAB color difference and the mean RMSE value as  
411 a function of the size of the training set for the colorimetric  
412 configuration. Taking into account the former criterion, the  
413 number of color samples for which the percentage of fluctuation  
414 is negligible (i.e., below 1%) can be established as  
415 110 color samples for this configuration. The eight percent-  
416 ages of fluctuation for this size of the training set are given  
417 in Table III as well as the mean, minimum, maximum, and  
418 standard deviation of the CIELAB color differences and the  
419 RMSE values.

420 Taking all these results into account, it can be concluded  
421 that the system's performance for the colorimetric configura-  
422 tion seems to be independent of the training set used, in  
423 terms of both the number of samples in the training set and  
424 the training set itself, when the number of samples is above  
425 110. These results hold in terms of accuracy of both color  
426 measurement and spectral reconstruction.

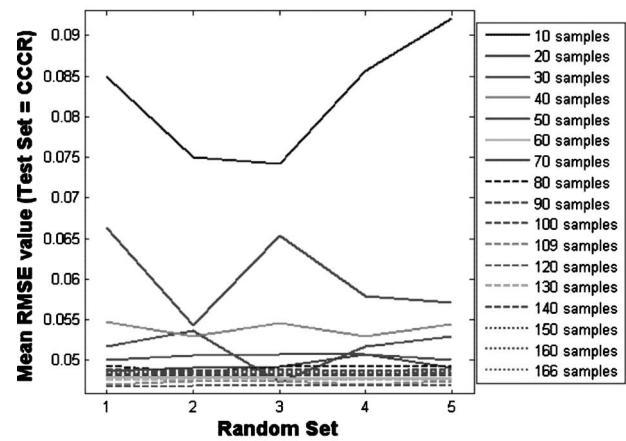
427 **Multispectral Configuration**

428 *Analysis I: Initial Fixed Color Sample*

429 Similarly to the colorimetric configuration, in the multispec-  
430 tral configuration there is a limit to the improvements in the



(a)



(b)

Figure 9. Multispectral configuration: (a) mean CIELAB color difference values and (b) mean RMSE values obtained using the five training sets selected from an initial randomly selected CCCD color sample, for all sizes of the training set considered. The CCCR color samples were used as the test set.

431 accuracy of color measurement and spectral reconstruction  
432 that can be brought about by increasing the number of color  
433 samples in the training set. Improvements seem to be neg-  
434 ligible when the training set is more than about 60 color  
435 samples (Figure 7 and Table IV). This appears to be in agree-  
436 ment with the findings of previous studies.<sup>11,14,21</sup> The results  
437 obtained in terms of CIELAB color differences and RMSE  
438 values are slightly better in this case than those obtained  
439 with the colorimetric configuration. This can be explained  
440 by the larger experimental errors involved in the acquisition  
441 sequence of the multispectral configuration, in which the  
442 filters are mounted in a motorized filter wheel. The RGB  
443 tunable filter used in the colorimetric configuration allows  
444 faster and easier measurement performance.

445 *Analysis II: Initial Randomly Selected Color Sample*

446 With respect to different random training sets of the same  
447 size, the system's performance for the multispectral configura-  
448 tion fluctuates depending on the random training set used  
449 when the sample sizes are low (Figure 8). However, the per-  
450 formance seems to be similar for the five random training  
451 sets that have 80 or more samples.



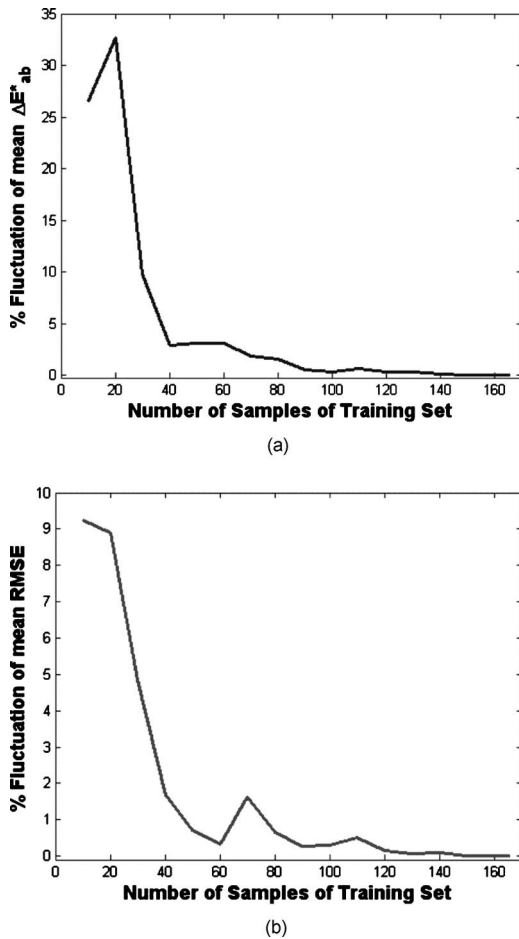


Figure 10. Multispectral configuration: percentage of fluctuation of the (a) mean CIELAB color difference values, and (b) mean RMSE values among the results obtained using the five random training sets, according to the number of samples in the training set. The CCCR chart was used as the test set.

452 The dependence of the system's performance (accuracy  
453 of color measurement and spectral reconstruction) on the  
454 training set is clearly seen through a direct comparison of  
455 the results obtained using the five random training sets for  
456 the different sizes considered (Figure 9).

457 Again, we observe that the smaller the number of  
458 samples in the training set, the greater the fluctuations be-  
459 tween the results obtained using the five random training  
460 sets. With respect to the percentages of the CIELAB color  
461 differences and RMSE values, the fluctuations in the mean,  
462 minimum, maximum, and standard deviation are negligible  
463 (below 1%) when the number of samples in the training set  
464 is greater than about 120 (Figure 10).

465 When these results are taken into account, an agreement  
466 can be reached in terms of the accuracy of color measure-  
467 ment and spectral reconstruction. With the criterion estab-  
468 lished, we conclude that the system's performance is inde-  
469 pendent of the training set used in terms of both the  
470 number of samples in the training set and the training set  
471 itself, when the number of samples is more than about 120.  
472 The eight percentages of fluctuation for this size of training  
473 set are given in Table V as well as the mean, minimum,

Table V. Multispectral configuration: mean, minimum, maximum and standard deviation of the CIELAB color difference and the RMSE values obtained using the five random training sets from the CCCR chart, with 120 color samples. The CCCR chart was used as the test set. The corresponding percentages of fluctuation are also given.

	Mean $\Delta E_{ab}^*$	min $\Delta E_{ab}^*$	max $\Delta E_{ab}^*$	Std. dev $\Delta E_{ab}^*$
Random 1	4.888	1.118	19.108	3.717
Random 2	4.929	1.134	19.576	3.815
Random 3	4.922	1.145	19.440	3.785
Random 4	4.925	1.129	19.496	3.794
Random 5	4.925	1.129	19.496	3.794
Mean	4.918	1.131	19.423	3.781
Std. dev	0.017	9.772	0.183	0.037
%fluctuation	0.342	0.864	0.941	0.990

	Mean RMSE	Min RMSE	Max RMSE	Std. dev RMSE
Random 1	$4.679 \times 10^{-2}$	$2.383 \times 10^{-2}$	$7.254 \times 10^{-2}$	$1.401 \times 10^{-2}$
Random 2	$4.692 \times 10^{-2}$	$2.363 \times 10^{-2}$	$7.215 \times 10^{-2}$	$1.407 \times 10^{-2}$
Random 3	$4.693 \times 10^{-2}$	$2.375 \times 10^{-2}$	$7.213 \times 10^{-2}$	$1.400 \times 10^{-2}$
Random 4	$4.695 \times 10^{-2}$	$2.378 \times 10^{-2}$	$7.224 \times 10^{-2}$	$1.402 \times 10^{-2}$
Random 5	$4.695 \times 10^{-2}$	$2.378 \times 10^{-2}$	$7.224 \times 10^{-2}$	$1.402 \times 10^{-2}$
Mean	$4.691 \times 10^{-2}$	$2.375 \times 10^{-2}$	$7.226 \times 10^{-2}$	$1.402 \times 10^{-2}$
Std. dev.	$6.723 \times 10^{-5}$	$7.503 \times 10^{-5}$	$1.645 \times 10^{-4}$	$2.702 \times 10^{-5}$
%fluctuation	0.143	0.316	0.228	0.193

maximum and standard deviation of the CIELAB color dif- 474  
ferences and the RMSE values. 475

## CONCLUSIONS 476

In this article, we have analyzed the influence of the number 477  
of samples in the training set on the accuracy of the color 478  
measurement and spectral reconstruction. We have consid- 479  
ered the imaging system's performance depending on the 480  
size of the training set and on the specific training set for 481  
each size. A method based on the calculation of percentages 482  
of fluctuation according to the training set has been devel- 483  
oped to establish the minimum and/or sufficient number of 484  
color samples in the training set above which the system's 485  
performance is independent of the number of samples. This 486  
increases the robustness of the colorimetric and spectral 487  
characterizations that are often used in the industry, which 488  
are based on establishing a direct relationship between the 489  
digital levels of the response of the imaging system and the 490  
corresponding tristimulus values or, equivalently, the reflect- 491  
ance spectra. 492

Two configurations of a CCD camera-based imaging 493  
system have been used for this purpose: a colorimetric confi- 494  
guration with three acquisition channels and a multispec- 495  
tral configuration with seven acquisition channels. The re- 496  
sults suggest that the system's performance seems to be 497  
independent of the training set, in terms of both the number 498  
of samples in the training set and the training set itself, when 499  
there are over 110 samples for the colorimetric configuration 500  
and over 120 samples for the multispectral configuration. 501

502 This result is true when percentages of fluctuation below 1%  
 503 are considered. The results hold in terms of accuracy of  
 504 color measurement and spectral reconstruction and can be  
 505 considered as the minimum and/or sufficient number of  
 506 color samples in the training set for the two configurations  
 507 of the imaging system. However, depending on the level of  
 508 accuracy of color and spectral assessments that is required of  
 509 the imaging system, the chosen criterion can be suitably  
 510 modified to obtain the size of the training set that is needed  
 511 for a specific industrial application. Furthermore, the meth-  
 512 odology can also be used for suitable color samples other  
 513 than those included in this article, depending on the indus-  
 514 trial process under consideration.

#### 515 ACKNOWLEDGMENTS

516 This work was supported by the Spanish Ministry of Edu-  
 517 cation and Science; Grant No. DPI2005-08999-C02-01.  
 518 M.d.L. would like to thank the Spanish Ministry of Educa-  
 519 tion and Science for the Ph.D. grant she received.

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